Express Mail No. <u>EV 452 772 876 US</u>

Sheet 1 of 3

| . | 1018 |
|--------------|---------------------------------------|
| | E JUH 2 MI |
| | and S |
| | LIST OF REFERENCES CITED BY APPLICANT |

| ATTY DOCKET NO. | APPLICATION NO | | | | | | | | |
|--------------------------|----------------|--|--|--|--|--|--|--|--|
| 10173-112-999 10/743,950 | | | | | | | | | |
| APPLICANT | | | | | | | | | |
| Dasseux et al. | | | | | | | | | |
| FILING DATE | GROUP | | | | | | | | |
| December 24, 2003 | 1642 | | | | | | | | |

U.S. PATENT DOCUMENTS

| | т — | | | _ | | | _ | | , | |
|----------------------|-----|-----------------|----------|------------------|------------|------|------------|-------|--------------------|----------|
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CL | .ASS | SUB | CLASS | FILING IF APPRO | |
| CA | A01 | 6,143,755 | 11/7/00 | Bocan | | 1 | | 1 | 1 | |
| CA | A02 | 6,124,309 | 9/26/00 | Bocan | | 1 | † · | 1 | 1 | |
| CA | A03 | 6,093,719 | 7/25/00 | Bocan | | | İ | | | |
| CA | A04 | 6,093,744 | 7/25/00 | Lee et al. | \Box | | | | | |
| (A | A05 | 6,017,905 | 1/25/00 | Roark et al. | П | | | T | | |
| (A | A06 | 5,981,595 | 11/9/99 | Picard et al. | \sqcap | | 1 | | | |
| CA | A07 | 5,968,963 | 10/19/99 | Homan | \prod | | | | | |
| CA | A08 | 5,783,600 | 6/21/98 | Bisgaier et al. | | | | | | |
| CA | A09 | 5,756,344 | 5/26/98 | Onda et al. | \sqcap | | 1 | | | |
| CA | A10 | 5,756,544 | 5/26/98 | Bisgaier et al. | \sqcap | | | | | |
| CA | A11 | 5,750,569 | 5/12/98 | Bisgaier et al. | \sqcap | | 1 | | | |
| CA | A12 | 5,648,387 | 7/15/97 | Bisgaier et al. | | | | | | |
| CA | A13 | 5,633,287 | 5/27/97 | Lee et al. | | | | | | |
| CA | A14 | 5,578,639 | 11/26/96 | Homan | | | | | | · |
| (A | A15 | 5,504,073 | 4/2/96 | Homan | | - | | | | |
| (A | A16 | 5,502,198 | 3/26/96 | Picard et al. | | | | | | |
| /A | A17 | 5,093,370 | 3/3/92 | Kimura et al. | | | | | | |
| CA | A18 | 4,711,896 | 12/8/87 | Bar-Tana et al. | | | | | | |
| /A | A19 | 4,689,344 | 8/25/87 | Bar-Tana | | | | | | \ |
| CA | A20 | 4,634,719 | 1/6/87 | Takaishi et al. | | | | | | |
| CA | A21 | 4,613,593 | 9/23/86 | Yamatsu et al. | \sqcap | | | | | 1 |
| (A | A22 | 4,584,321 | 4/22/86 | Manghisi et al. | | | | | | + |
| CA | A23 | 4,287,200 | 9/1/81 | Kawamatsu et al. | | | | | | 1 |
| CA | A24 | 3,930,024 | 12/30/75 | Creger | | | $ \neg $ | | <u> </u> | 1- |
| A | A25 | 3,773,946 | 11/20/73 | Creger | $ \neg $ | | | | | + |
| | | | | | 1 | | | | | 1 |

| | | | FOREIG | N PATENT DOCUMENTS | | | | |
|----|-----|-----------------|----------|--------------------|-------|----------|-------------|--|
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSL | 10ITA |
| | | | | | | | YES | NO |
| CA | B01 | DE 44 36 578 | 4/18/96 | Germany | | | - | _ |
| CA | B02 | EP 0 366 205 | 5/2/90 | Europe | | | | + |
| CA | B03 | EP 0 084 720 | 8/3/83 | Europe | | | | |
| CA | B04 | EP 0 032 063 | 12/30/80 | Europe | | | | = |
| CA | B05 | WO 99/00116 | 1/7/99 | PCT | | | | <u> </u> |
| CA | B06 | WO 98/30530 | 7/16/98 | PCT | | | | = |
| CA | B07 | WO 96/30328 | 10/3/96 | PCT | | | | ├── |



OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| <u> </u> | - 404 | <i>#</i> | |
|-----------|----------------|----------|---|
| Example 1 | ARK PR | 4 | Acton et al., 1996, "Identification of scavenger receptor SR BI as a high density lipoprotein receptor," Science 271:518-520 |
| CI | 4 0 | 02 | Badimon et al., 1992, "Role of high density lipoproteins in the regression of atherosclerosis," Circulation <u>86(Suppl. III)</u> :86-94 |
| . (1 | 4 c | 03 | Barrans et al., 1996, "Pre beta HDL: structure and metabolism," Biochem. Biophys Acta 1300:73-85 |
| Ct. |) C | 04 | Bellaart et al; Metal Complexes of 4, 7-Dithiadecane-1,10-dicarboxylic Acid and Allied Compounds; Z. anorg. Allg. Chem. 412, 155-160 (1975) |
| CI | 1 C | 05 | Bisgaier et al., 1998, "A novel compound that elevates high density lipoprotein and activates the peroxisome proliferator activated receptor," J. Lipid Res. 39:17-30; (1998) |
| CF | } (| 06 | Brown and Goldstein, 1990, "Drugs used in the treatment of hyperlipoproteinemias," In: <u>The Pharmacological Basis of Therapeutics</u> , 8th Ed., Goodman & Gilman, eds., Pergamon Press, Ch. 36, pp. 874-896 |
| | A C | 07 | Bruce et al., 1998, "Plasma lipid transfer proteins, high density lipoproteins, and reverse cholesterol transport," Annu. Rev. Nutr. 18:297-330 |
| |) C | 08 | Chaussade et al; X-ray Crystal Structure of a Novel Alkoxide-bridged dimolybdenum complex; Bull. Soc. Chim. Fr. (1995) 132, 265-267 |
| CF | 7 0 | 09 | Dansky and Fisher, 1999, "High density lipoprotein and plaque regression: the good cholesterol gets even better," Circulation 100:1762-1763 |
| Co | A C | 10 | Decossin et al., 1997, "Subclasses of LpA-I in coronary artery disease: distribution and cholesterol efflux ability," Eur. J. Clin. Invest. <u>27</u> :299-307 |
| C | C | 11 | Dingwall et al.; Free Radical Catalysed Additions to the Double Bond of Diketene: A Synthesis of Novel Oxetan-2-ones; J. Chem. Soc. Perkin Trans, I 1986 |
| | () C | 12 | Fielding and Fielding, 1995, "Molecular physiology of reverse cholesterol transport," J. Lipid Res. 36:211-228 |
| C1. | A C | | Gearing et al., 1993, "Interaction of the peroxisome proliferator activated receptor and retinoid X receptor," Proc. Natl. Acad. Sci. USA 90:1440-1444 |
| CH | 7 C1 | | Harris and Kletzien, 1994, "Localization of a pioglitazone response element in the adipocyte fatty acid binding protein gene," Mol. Pharmacol. 45:439-445 |
| _/A | CI | | Heyman et al., 1992, "9-cis retinoic acid is a high affinity ligand for the retinoid X receptor," Cell 68:397-406 |
| CA | CI | | Hidaka and Fidge, 1992, "Affinity purification of the hepatic high density lipoprotein receptor identifies two acidic glycoproteins and enables further characterization of their binding properties," Biochem. J. 284:161-167 |
| CA | Cı | 17 | Hirano et al., 1997, "Genetic cholesteryl ester transfer protein deficiency is extremely frequent in the Omagari area of Japan. Marked hyperalphalipoproteinemia caused by CETP gene mutation is not associated with longevity," Arterioscler. Thromb. Vasc. Biol. <u>17</u> :1053-1059 |
| CA | Cı | 18 | Issemann and Green, 1990, "Activation of a member of the steroid hormone receptor superfamily by peroxisome proliferators," Nature 347:645-650 |
| CA | Cı | | Keller and Wahli, 1993, "Peroxisome proliferator-activated receptors - a link between endocrinology and nutrition," TEM 4:291-296 |
| CA |) C2 | | Keller et al., 1993, "Fatty acids and retinoids control lipid metabolism through activation of peroxisome proliferator activated receptor retinoid X receptor heterodimers," Proc. Natl. Acad. Sci. USA 90:2160-2164 |
| CA | | 21 | Kliewer et al., 1992, "Convergence of 9-cis retinoic acid and peroxisome proliferator signalling pathways through heterodimer formation of their receptors," Nature 358:771-774 |
| CA | C2 | | Kurata et al., 1998, "A candidate high density lipoprotein (HDL) receptor, HB ₂ , with possible multiple functions shows sequence homology with adhesion molecules," J. Atheroscler. and Thromb. 4:112-117 |
| CA | C2 | | Lagrost et al., 1996, "Opposite effects of cholesteryl ester transfer protein and phospholipid transfer protein on the size distribution of plasma high density lipoproteins. Physiological relevance in alcoholic patients," J. Biol. Chem. 271:19058-19065 |
| ·CA | C2 | 4 | Landschulz et al., 1996, "Regulation of scavenger receptor, class B, type I, a high density lipoprotein receptor, in liver and steroidogenic tissues of the rat," J. Clin. Invest. 98:984-995 |
| CA. | - C2 | 5 | Lazarow and Fujiki, 1985, "Biogenesis of peroxisomes," Annu. Rev. Cell Biol. 1:489-530 |
| CA | - C2 | 6 | Levin et al., 1992, "9-cis retinoic acid stereoisomer binds and activates the nuclear receptor RXRa," Nature 355:359- |
| CA | C2 | 1 | Mcguire et al; Peroxisome Induction Potential and Lipid-regulating Activity in Rats; American Journal of Pathology, Vol. 139, No. 1, July 1, 1991, pgs. 217-229 |
| Ct. | 7 C2 | 8 | Nemali et al., 1988, "Comparison of constitutive and inducible levels of expression of peroxisomal β oxidation and catalase genes in liver and extrahepatic tissues of rat," Cancer Res. 48:5316-5324 |
| CA | C2 | 9 | Parra et al., 1992, "A case control study of lipoprotein particles in two populations at contrasting risk for coronary heart disease. The ECTIM Study," Arterioscler. Thromb. 12:701-707 |
| CA | C3 | o 1 | Reaven, 1993, "Role of insulin resistance in human disease (syndrome X): an expanded definition," Annu. Rev. Med. 44:121-131 |
| CA | C3 | 1 1 | Reddy and Lalwani, 1983, "Carcinogenesis by hepatic peroxisome proliferators: evaluation of the risk of hypolipidemic drugs and industrial plasticizers to humans," Crit. Rev. Toxicol. 12:1-58 |
| | | | |

| | | OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
|--|--|---|--|--|--|--|--|--|
| CA | C32 | Rigotti et al., 1996, "Regulation by adrenocorticotropic hormone of the in vivo expression of scavenger receptor class B type I (SR BI), a high density lipoprotein receptor, in steroidogenic cells of the murine adrenal gland," J. Biol. Chem. 271:33545-33549 | | | | | | |
| CA | C33 | Robins and Fasulo, 1997, "High density lipoproteins, but not other lipoproteins, provide a vehicle for sterol transport to bile," J. Clin. Invest. 99:380-384 | | | | | | |
| CA | C34 | Skinner et al; Gastric Ulcer Presenting As Gastroesophageal Reflux and Apnea in a Term Neonate; Tex Medic., 94(9):57-58 (1998) | | | | | | |
| CA | C35 | Staels and Auwerx, 1998, "Regulation of apo A-I gene expression by fibrates," Atherosclerosis 137(Suppl.):S19-S23 | | | | | | |
| CA | C36 | Tontonoz et al., 1994, "Adipocyte specific transcription factor ARF6 is a heterodimeric complex of two nuclear hormone receptors, PPARy and RXRa," Nucl. Acids Res. 22:5628-5634 | | | | | | |
| CA | C37 | Vamecq and Draye, 1989, "Pathophysiology of peroxisomal β-oxidation," Essays Biochem. 24:115-225 | | | | | | |
| CA | Yoshida et al; Ruthenium(II) hydrido complexes of quadridentate crown thioethers,"; Journal of Organometalli Chemistry, 473 (1994) pp 225-241. | | | | | | | |
| EXAMINER ALLAKH DATE CONSIDERED [8/28/05 | | | | | | | | |
| *EYAMINED: | Initial if | reference considered, whether or not citation is in conformance with MPEP 600. Draw line through citation if not in conformance and not | | | | | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | (C) | | | | | Express | Mail No. <u>EV</u> | 475 141 10 Sheet 1 C | | |
|----------------------|--------------------------|----------------------------------|--|------------------------------------|---|------------------------------|--------------------|-------------------------|---------|--|
| PAIR | OCT 2 | a de siri | | | ATTY DOCKET NO. 10173-112-999 (CAM #37185 | APPLICATION NO 10/743,950 | | | | |
| LIST O | FREE | (Use several sheets if | | ANT | APPLICANT Dasseux et al. | | | | | |
| | | | | | FILING DATE December 24, | GROUP | | | | |
| | | | U.S. P. | ATENT DOCU | MENTS | | | | | |
| *EXAMINI INITIAL | | DOCUMENT NUMBER | DATE | | NAME | CLASS | SUBCLASS | FILING I | | |
| | | | | | | | | | | |
| | | | | 1 | | | <u> </u> | | | |
| | • | | FOREIG | N PATENT DO | CUMENTS | | - | | | |
| |]· | DOCUMENT NUMBER | DATE | c | OUNTRY | CLASS | SUBCLASS | TRANSL | ATION | |
| | DOG | WO 02/30884 A2 | 4/18/02 | PCT | | | | YES | NO | |
| CA | B08 | WO 02/30004 A2 | 4/10/02 | PCF | | | | ‡== | | |
| | ļ | | ļ | | | | | | ļ | |
| | 1 | | | <u> </u> | | | | | <u></u> | |
| | | OTHER REFERI | ENCES (Inc | luding Author, T | itle, Date, Pertine | nt Pages, L | Etc.) | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | <u> </u> | | | | | | | | |
| EXAMIN | ER | AULA | KH | DATE | CONSIDERED | 16/ | 26/ | 05 | | |
| *EXAMINE considered. | ER: Initial Include c | if reference considered, whether | r or not citation is unication to app | s in conformance with I licant. | MPEP 609; Draw line th | rough citation | if not in confo | mance and | not | |